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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/810,660

03/29/2004

Wen-Jian Lin

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EXAMINER

STARK, JARRETT J

ART UNIT

PAPER NUMBER

2823

DATE MAILED: 02/02/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/810,660

Applicant(s)

LIN, WEN-JIAN

Examiner

Jarrett J. Stark

Art Unit

2823

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 29 March 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-37 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-3, 5-6, 8-9, 11-12, 14-15, 20-37 is/are rejected.
- 7) ☒ Claim(s) 4, 7, 10, 13 and 16-19 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date 1123/48 2005 Tr.
01/18/04
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

Election/Restrictions

Applicant's election of claims 1-37 in the reply filed on January 19, 2006 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).

Allowable Subject Matter

Claim 4, 7, 10, 13, 16, 17-19 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The following is a statement of reasons for the indication of allowable subject matter: The prior art does not teach the formation of a spacer on the sidewall of the second electrode.

Claim Objections

Claims 20-23, 34, and 35 are objected to because of the following informalities: the claim reads "...wherein the **thick** of the material...". The examiner assumes the word thick was intended to be thickness. Appropriate correction is required.

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Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this

Office action:

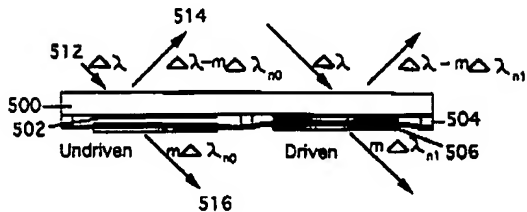
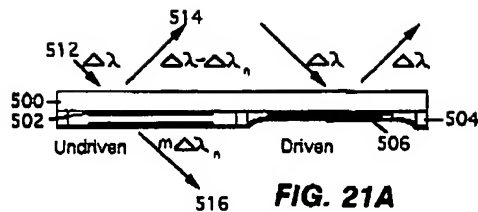
A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 1, 2, 3, 5, 6, 11, 12, 14, 15, 29, 24 - 28, 31, 32, 36 and 37 are
rejected under 35 U.S.C. 102(b) as being anticipated by Miles (US 5,835,325).

Regarding claims 1 and 27, Miles discloses a structure of a micro electro mechanical system, suitable to use on an optical interference display cell, the structure of a micro electro mechanical system comprising:

- a first electrode; (Miles , Fig. 21A - [502], Fig. 27)
 - a second electrode comprising: (Miles, Fig. 21A - [506], & Fig. 27)
 - a first material layer; and (Miles, Fig. 27A-C - [900])
 - a conductor layer set on the first material layer and approximately in parallel to the first electrode; and (Miles, Fig. 21A - [502])
 - a supporter set between the first electrode and the first material layer to form a cavity; (Miles, Fig. 21A - [504])
- (Miles, Col. 20 lines 55-57 → 900 is symbolizes both the support membrane & conductor/electrode [506] and the substrate [500] & conductor/electrode shown in Fig. 21)



Regarding claim 2, 24, 25, 28, 36, the claims cited are given no patentable weight. Product-By-Process claims are not limited to the manipulations of the recited steps, only the structure implied by the steps.

"Even though product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself. The patentability of a product does not depend on its method of production. If the product in the product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process." In re Thorpe, 777 F.2d 695, 698, 227 USPQ 964, 966 (Fed. Cir. 1985) (citations omitted) (Claim was directed to a novolac color developer. The process of making the developer was allowed. The difference between the inventive process and the prior art was the addition of metal oxide and carboxylic acid as separate ingredients instead of adding the more

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expensive pre-reacted metal carboxylate. The product-by-process claim was rejected because the end product, in both the prior art and the allowed process, ends up containing metal carboxylate. The fact that the metal carboxylate is not directly added, but is instead produced in-situ does not change the end product.).

Also regarding claim 24, 36, Miles teaches using a photoresist. Using a photoresist is standard practice in the art.

Also regarding claim 25, Miles teaches using ITO for the conducting layers. (Miles, col. 21 line 13 → ITO)

Regarding claim 3, Miles discloses the structure of a micro electro mechanical system of claim 1, further comprising a second material layer covering the second electrode. (Miles, Fig. 27A - [904])

Regarding claim 5,11, 29, 31, Miles discloses the structure of a micro electro mechanical system of claim 1, wherein the material of the first material layer is selected from the group consisting of **silicon material**, **dielectric material**, transparent conductor material, cromolecule polymer, metal oxide and any arbitrary combination thereof. (Miles, col. 19 line 58 → silicon nitride)

Regarding claim 6,12, Miles discloses the structure of a micro electro mechanical system of claim 3, wherein the material of the second material layer is selected from the group consisting of silicon material, **dielectric material**,

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transparent conductor material, macromolecule polymer, metal oxide and any arbitrary combination thereof. (Miles, Fig. 27A - [904] → insulator)

Regarding claim 14, Miles discloses the structure of a micro electro mechanical system of claim 5, wherein the transparent conductor material is indium tin oxide, indium zinc oxide, or indium oxide. (Miles, col. 21 line 13 → ITO)

Regarding claim 15, 32, Miles discloses the structure of a micro electro mechanical system of claim 6, wherein the transparent conductor material is indium tin oxide, indium zinc oxide, or indium oxide. (Miles, col. 21 line 13 → ITO)

Regarding claim 26 and 37, Miles discloses the structure of a micro electro mechanical system of claim 1, wherein the second electrode is a movable electrode.

37. The structure of a micro electro mechanical system of claim 27, wherein the 25 second electrode is a movable electrode.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 8, 9, 30, 34, and 35 rejected under 35 U.S.C. 103(a) as being unpatentable over Miles (US 5,835,325) in view of Gotoh et al. (US 5,824,608).

Regarding claim 8,9, 20-23, 30, 34, 35, Miles discloses The structure of a micro electro mechanical system of claim 5,

Miles does not expressly disclose wherein the silicon material is poly-silicon or amorphous silicon.

Gotoh discloses wherein the silicon material is poly-silicon.

The References are analogous art because they are from [insert "same field of endeavor" , making a movable electrode.

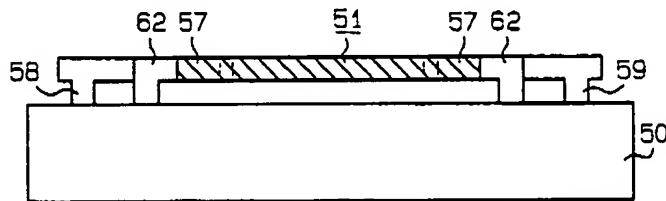
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At the time of the invention, it would have been obvious to a person of ordinary skill in the art to use polysilicon as a support membrane.

Therefore, it would have been obvious to combine the two references to obtain the invention as specified.

A movable portion 51 of a beam structure is disposed above a silicon substrate 50 with a prescribed gap therebetween. The movable portion 51 of a polysilicon thin film comprises beam portions 52, 53, 54 and 55, weight portion 56 and movable electrode portions 57. (Gotoh, Col. 1, lines 32-37)

FIG. 41 PRIOR ART



Regarding claims 20 – 23, 34, 35, It would have been obvious to one of ordinary skill in the art of making semiconductor devices to determine the workable or optimal value for the layer thicknesses through routine experimentation and optimization to obtain optimal or desired device performance because the layer thicknesses is a result-effective variable and there is no evidence indicating that it is critical or produces any unexpected results and it has been held that it is not inventive to discover the optimum or

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workable ranges of a result-effective variable within given prior art conditions by routine experimentation. See MPEP 2144.05

Given the teaching of the references, it would have been obvious to determine the optimum thickness, temperature as well as condition of delivery of the layers involved. See *In re Aller, Lacey and Hall* (10 USPQ 233-237) "It is not inventive to discover optimum or workable ranges by routine experimentation." Note that the specification contains no disclosure of either the critical nature of the claimed ranges or any unexpected results arising therefrom. Where patentability is said to be based upon particular chosen dimensions or upon another variable recited in a claim, the Applicant must show that the chosen dimensions are critical. *In re Woodruff*, 919 F.2d 1575, 1578, 16 USPQ2d 1934, 1936 (Fed. Cir. 1990).

Any differences in the claimed invention and the prior art may be expected to result in some differences in properties. The issue is whether the properties differ to such an extent that the difference is really unexpected. *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

Appellants have the burden of explaining the data in any declaration they proffer as evidence of non-obviousness. *Ex parte Ishizaka*, 24 USPQ2d 1621, 1624 (Bd. Pat. App. & Inter. 1992).

An Affidavit or declaration under 37 CFR 1.132 must compare the claimed subject matter with the closest prior art to be effective to rebut a prima facie case of obviousness. *In re Burckel*, 592 F.2d 1175, 201 USPQ 67 (CCPA 1979).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jarrett J. Stark whose telephone number is (571) 272-6005. The examiner can normally be reached on Monday - Thursday 7:00AM - 5:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew Smith can be reached on (571) 272-1907. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JJS
January 27, 2006



**W. DAVID COLEMAN
PRIMARY EXAMINER**